

ANNUAL REPORT 2021

**Practicing across
states simplified.**

Save time with a PT Compact privilege.



PT • COMPACT

EXECUTIVE SUMMARY

The 2021 Annual Report is an overview of the various activities and growth of the Physical Therapy Compacts Commission (PTCC) during the year:

- Enacted PT Compact legislation in two new states
 - Completed implementation and began issuance of compact privileges (CPs) in five more states
 - Issued more than 3,500 CPs to PTs and PTAs
 - Reviewed and amended governance documents
 - Developed new video resources
 - Worked with states on compliance issues
 - Established the PT Compact Education and Outreach Committee
- 
- 25 Active Jurisdictions
 - 34 Member Jurisdictions
 - 3,536 Compact Privileges Issued
 - 2,851 PT CP holders
 - 685 PTA CP holders

IMPLEMENTATION

After enacting the model Physical Therapy Compact legislation, a state must work to implement all the requirements of the PT Compact before being ready to actively issue and accept compact privileges. The responsibility falls upon the state licensing board, and the amount of time, work, and difficulty to implement the requirements can vary widely.

During 2021, five states completed implementation and began issuing and accepting compact privileges. The states were Delaware, Georgia, Maryland, Montana, and Ohio. These additional states brought the total number of active compact states to twenty-five.

Several issues continue to hamper the implementation in the remaining nine states. The implementation roadblocks fall into three main categories: (1) getting FBI approval for the criminal background check requirement; (2) starting the weekly sharing of licensure data with the Commission; and (3) adoption of rules and regulations to implement the compact. Because of these issues, the implementation process continues to average between eight and twelve months after enactment of the legislation.

The PTCC continues to implement lessons learned from previous years to assist newer compact states in reducing their implementation time frames.

NEW ACTIVE STATES IN 2021

- DELAWARE
- GEORGIA
- MARYLAND
- MONTANA
- OHIO

AMENDMENTS TO GOVERNANCE DOCUMENTS

The PTCC conducted its annual review of its rules, bylaws, and policies and procedures to determine if any changes should be considered. The PTCC approved amendments to the rules, bylaws, and policies and procedures in 2021. A copy of the adopted amendments are available in Appendices A, B, and C. The most current governance documents are available at ptcompact.org/About-The-Compact/Governance-Documents.

COMPLIANCE ACTIVITIES

The PTCC continued the work started in 2020 to ensure member state compliance with the PTCC rules, policies, and requirements, in addition to continuing efforts to conduct regular audits of compact privilege holder eligibility, the PTCC continued to work with compact states to ensure they are in compliance. In 2021, the Commission established a standing Compliance Committee. This committee meets quarterly and reviews member state compliance in several key areas including weekly submission of licensee data, timely entry of disciplinary actions, flagging of encumbrances and investigations, and the storing and sharing the FSBPT ID in the weekly data file.

The goal of the effort is to work collaboratively with the member boards to provide training and remediation when a compliance issue is identified.

COMPACT PRIVILEGE ISSUANCE

The PTCC continued to see growth in sales during 2021. A total of 3,573 compact privileges were purchased in 2021. Of this total, 2,993 were initial purchases and 580 were for renewal of an existing compact privilege. As of December 31, 2021, there were 4,110 active compact privileges held by 2,846 unique compact

privilege holders.

COMPACT PRIVILEGES 2020 VS 2021

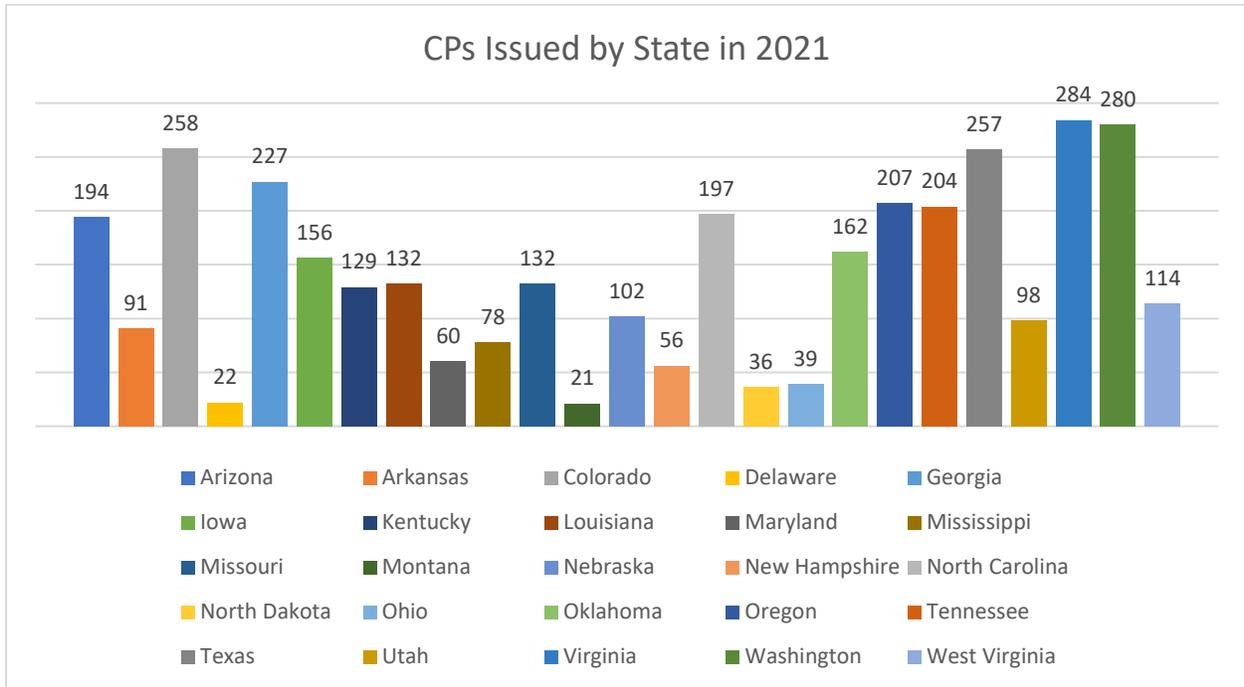
- 1,118 MORE PRIVILEGES SOLD
- 1,674 MORE ACTIVE PRIVILEGES
- 1,097 MORE UNIQUE PRIVILEGE HOLDERS

During the pandemic, as the physical therapy profession saw growth in the number of physical therapists utilizing telehealth in their practice, the PT Compact saw a growth in the number of compact privilege holders obtaining

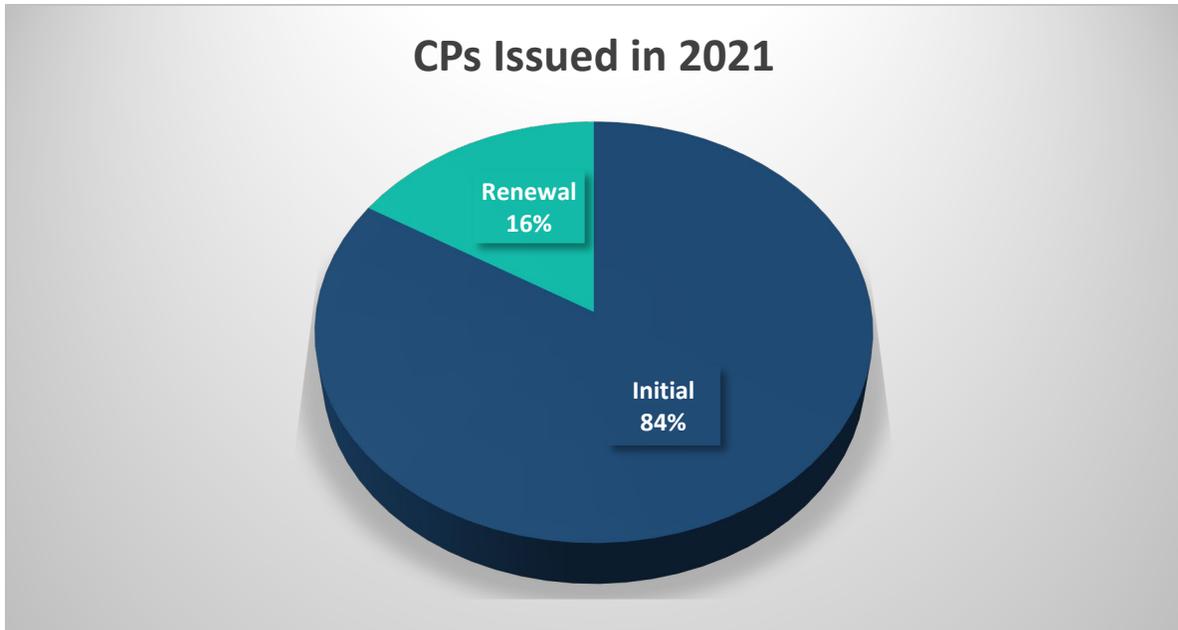
privileges to be able to provide therapy in multiple compact states via telehealth. In addition to traveling PTs/PTAs and border state licensees, practitioners using telehealth is the other main group of individuals significantly utilizing the PT Compact.

By the end of 2021, compact privileges were issued in twenty-five compact jurisdictions. Colorado, Texas, Virginia, and Washington led the way, respectively issuing 258, 257, 284, and 280 privileges in 2021. Sixty-four percent of the active member states issued more than 100 compact privileges in 2021.

The following charts and tables provide a variety of breakdowns of 2021 compact privilege issuance.



Consistent with previous years, the majority of compact privilege purchases are for the initial issuance of the privilege. In 2021, almost 84 percent of all purchases were for initial issuance.



2021 COMPACT FINANCIALS

The fiscal year for the PTCC runs from January to December.

2021 Budget

Revenue = \$163,980

Invoiced Expenses = \$202,800

Net = \$(38,820)

2021 Actual

Revenue = \$159,030*

Invoiced Expenses = \$218,329*

Net = \$(59,299)**

*See appendices for details **Excludes waived costs

COMMUNICATIONS & OUTREACH

Although in-person meetings and travel were still restricted due to the pandemic, the PTCC continued to connect with a variety of stakeholders in many different forms.

Regular educational webinars continued in 2021, with presentations to several state physical therapy associations and staffing companies. The ability to provide virtual presentations was key in continuing outreach during the pandemic.

THANKS TO OUR VOLUNTEERS

The PTCC committees and task forces, consisting of volunteers from compact jurisdictions, worked diligently to maintain and improve the effectiveness and efficiency of PTCC operations during 2021. The rosters for member board delegates and the Executive Board are as of the 2021 Annual Meeting.

Jurisdiction	Delegate	Jurisdiction	Delegate
Alabama	Kathy Miller	New Jersey	Richard Stoneking
Arizona	Joshua Greer	North Carolina	Kathy Arney
Arkansas	Sam Denton	North Dakota	Jeanne DeKrey
Colorado	Lorey Bratten	Ohio	Jennifer Wissinger
Delaware	Devashree Singh	Oklahoma	Kelly Berry
District of Columbia	LaTrice Herndon	Oregon	Aubree Benson
Georgia	Anne Thompson	Pennsylvania	Cindy Potter
Indiana	Sean Bagbey	South Carolina	Judd Warren
Iowa	Venus Vendoures-Walsh	South Dakota	Margaret Hansen
Kansas	Susan Gile	Tennessee	David Harris
Kentucky	Stephen Curley	Texas	Harvey Aikman
Louisiana	Charlotte Martin	Utah	Mark Steinagel
Maryland	Laurie Kendall-Ellis	Virginia	Corie Tillman Wolf
Mississippi	Douglas Roll	West Virginia	Nonnie Holcomb
Missouri	Jimmy Leggett	Wisconsin	Kathryn Zalewski
Montana	Jenn Noel	APTA	Daniel Markels
Nebraska	Claire Covert-ByBee	FSBPT	Dave Relling
New Hampshire	Nicole Lavoie		

Executive Board	
Name	Role
Kathy Arney	Chair
Harvey Aikman	Vice Chair
Jeanne DeKrey	Secretary/Treasurer
Charlotte Martin	Member-at-Large
Judd Warren	Member-at-Large
Corie Tillman Wolf	Member-at-Large
VACANT	Member-at-Large
Daniel Markels	Non-Voting Ex Officio
Dave Relling	Non-Voting Ex Officio

Rules & Bylaws Committee	Elections Committee
Harvey Aikman, Chair (TX)	Mark Steinagel (UT)
Michelle Sigmund-Gaines (OR)	Kathryn Zalewski (WI)
David Harris (TN)	Finance Committee
Anne Thompson (GA)	Jeanne DeKrey, Chair (ND)
Corie Tillman Wolf (VA)	Claire Covert-ByBee (NE)
Charlotte Martin (LA)	Kelly O'Connor (TN)
Scott Majors (KY)	Judd Warren (SC)
Compliance Committee	
Charlotte Martin, Chair (LA)	Scott Majors (KY)
Karen McIntyre (OH)	Judd Warren (SC)
Jennifer Aglubat (WA)	Valerie Payne (WI)
Sherri Paru (OR)	Mark Steinagel (UT)

2021 Meetings	
Meeting	Meeting Date
Executive Board	2/11/2021
Compliance Committee	3/8/2021
Compliance Committee	3/22/2021
Compliance Committee	4/7/2021
Rules & Bylaws Committee	5/10/2021
Executive Board	5/26/2021
Rules & Bylaws Committee	6/17/2021
Executive Board	7/22/2021
Compliance Committee	8/12/2021
Rules & Bylaws Committee	8/30/2021
Executive Board	9/14/2021
Executive Board	10/13/2021
PT Compact Commission Annual Meeting	10/24/2021
Executive Board	11/3/2021
Compliance Committee	11/3/2021
Rules & Bylaws Committee	12/7/2021

Appendix A

2021 PT Compact Rules Amendments Adopted by the
Commission on October 24, 2021

2021 DRAFT Compact Rules Amendments as Recommended by the Executive Board

Please note that additions are indicated by red underlined text. Deletions are indicated by ~~red strikethrough~~ text. Black text is existing unchanged text.

Suggested Draft Rules Amendments

- 1) Change existing Rule 3.8 to clarify jurisprudence requirement when purchasing a compact privilege

Rule 3.8 - Jurisprudence

If a member state has a jurisprudence requirement to be eligible to obtain a compact privilege in accordance with Section 4.A.7 of the Compact, the member state may allow the jurisprudence requirement to that may be completed after the issuance of the compact privilege. In that situation, the deadline to complete the jurisprudence requirement is thirty (30) days.

A member state may choose to have a jurisprudence requirement in accordance with Section 4.A.7 of the Compact for ~~both~~ initial purchase of a compact privilege, and for renewal of a compact privilege, or for both initial purchase and renewal of a compact privilege.

- 2) Add new Rule 3.11 to require self-reporting of confidential alternative programs by the individual.

Rule 3.11 – Self-Reporting of Enrollment in an Alternative Program

A compact privilege holder must report to the Commission any required enrollment into an alternative program, in any jurisdiction, within thirty (30) days. Notification of enrollment in an alternative program will automatically place an encumbrance on the individual.

Appendix B

2021 PT Compact Commission Bylaws Amendments
Adopted by the Commission on October 24, 2021

2021 Draft Compact Bylaws Amendments as Recommended by the Executive Board

Please note that additions are indicated by red underlined text. Deletions are indicated by ~~red strikethrough~~ text. Black text is existing unchanged text.

Suggested Draft Bylaws Amendment

- 1) Add Compliance Committee as a new standing committee to Article VII. Section 2.

C. Compliance Committee

The Committee will consist of at least three members. The Committee will have at least one representative from a jurisdiction with an autonomous licensing board and at least one representative from a jurisdiction with a system that is run by an agency director, commission or council, with or without the assistance of a board and if the board does exist, it is strictly advisory. A member of the Executive Board will serve as Chair of the Committee. Committee member terms will expire at the close of the following year's annual Commission meeting.

Appendix C

2021 PT Compact Commission Policy and Procedure
Manual Amendments Adopted by the Commission on
October 24, 2021

2021 Draft Compact Policies and Procedures Amendments as Recommended by the Executive Board

Please note that additions are indicated by red underlined text. Deletions are indicated by ~~red strikethrough~~ text. Black text is existing unchanged text.

Suggested Draft Policies and Procedures Amendments

- 1) Add a new policy 1.17 regarding the Compliance Committee Role

POLICY NUMBER: 1.17

AREA: Administration

AUTHORITY: PT Compact Bylaws – Article VII. Section 2

PURPOSE: To establish the role and duties of the Physical Therapy Compact Commission (PTCC) Compliance Committee.

DATE APPROVED:

Policy:

The Compliance Committee shall assist in monitoring compact member state compliance with the requirements of the PT Compact, assist in remediation, and make recommendations to the Executive Board regarding enforcement actions, when necessary.

Procedure:

The Compact Administrator shall be responsible for coordinating the entire process with involvement of members of the Compliance Committee as outlined below.

Compliance Monitoring and Reporting

STEP 1 – Quarterly Compliance Reporting.

- 1) The Compact Administrator shall send each compact state a quarterly report on its compliance with the key factors.
 - a) The report shall highlight compliance and non-compliance for each factor.
 - b) Allow 2 weeks for the member state to proactively contact the Compact Administrator in response to any factors not in compliance.
 - c) If steps are taken to achieve compliance, move the member state off the list of non-compliance and include member state in the report to the Compliance Committee at the next meeting.
 - d) If the member state contact does not proactively contact the Compact Administrator in response to non-compliance within 2 weeks, move forward to next step.

STEP 2 – Quarterly Report to Compliance Committee.

- 1) The Compact Administrator shall send the Compliance Committee a quarterly update on the compliance of compact states.
 - a) The Committee will meet quarterly, if needed, to address compliance issues.

STEP 3 - Discussion with member state regarding non-compliance.

- 1) The Compact Administrator shall request a meeting to discuss the non-compliance member state and understand any barriers the state faces.
- 2) Attendees of the meeting shall be a representative from the member state, the PT Compact Administrator, and a representative from a Compact Member State whose board is of similar structure (independent, umbrella, etc).
 - a) Non-compliance is directly discussed and steps to remediate the non-compliance are identified.
 - b) Per Section 10(B).1b of the compact language, as necessary, the Commission, through the Compact Administrator, will provide remedial training and technical assistance to a non-compliant state.
 - c) A deadline for compliance is established. Deadlines may vary depending on the hierarchy matrix created regarding how concerning non-compliance is in each area.
 - d) If compliance is achieved, move the member state off the list of non-compliance and include member state in the report to the Compliance Committee at the next meeting.
 - e) If deadline is reached and non-compliance persists, move forward to next step.

STEP 4 – Preliminary notification of non-compliance.

- 1) The Compact Administrator and PTCC Chair shall send preliminary notification of non-compliance letter to the compact member state Administrator and Compact Delegate.
 - a) Non-compliance is identified in the letter with a request for a meeting on a scheduled date.
 - b) Meeting is held with member state, Compact Administrator, and PTCC Chair on date scheduled to discuss non-compliance.
 - c) A verbal agreement is made that clearly lists steps to be completed with deadlines associated with each step.
 - d) After the meeting occurs, revised notification is sent by the Compact Administrator documenting the agreed-upon remedial steps to be taken with associated deadlines and notification that, if the compliance is not reached by the deadline, the non-compliance shall be referred to the Compliance Committee for consideration.
 - e) If compliance is achieved, move the member state off the list of non-compliance and include member state in the report to the Compliance Committee at the next meeting.
 - f) If deadline is reached and non-compliance persists, move forward to next step.

STEP 5 - Non-compliance reported to Compliance Committee.

- 1) The Compact Administrator shall notify the Compliance Committee of the non-compliance issue and schedule a committee meeting.
 - a) Compact Administrator provides a summary report to the Compliance Committee, including dates of each prior step taken and the associated response by member state.
 - b) Committee reviews report for member state in non-compliance and recommendations for compliance enforcement are discussed and voted on by the committee. Recommendation may be to suspend until remediated or termination.
 - c) If compliance is achieved during this time period, move the member state off the list of non-compliance and include member state in a report to the Executive Board at its next meeting.
 - d) If compliance is not achieved, Committee recommendations are sent to the Executive Board by the Compact Administrator and put on the agenda for the next Executive Board Meeting.

STEP 6 - Executive Board Action.

- 1) The Compact Administrator shall notify the Executive Board of the non-compliance issue and schedule a meeting.
 - a) The Compact Administrator shall provide the Executive Board with a summary report and Compliance Committee recommendation. The Executive Board shall vote on action to be taken. Recommendation may be to take no action, suspend the state's membership in the Compact until remediated, or terminate the state's membership in the Compact, to be considered by the full Commission at an emergency meeting of the full Commission or at its next annual meeting.
 - b) The state shall have an opportunity for dispute resolution as provided for in Compact law, rules, and bylaws.
 - c) If compliance is achieved during this time period, move the member state off the list of non-compliance and include member state in a report to the Executive Board at its next meeting.

STEP 7 – Full Commission Board Action.

- 1) The Compact Administrator shall notify the full Commission of the non-compliance issue and schedule a meeting.
 - a) The Compact Administrator shall provide the full Commission with a summary report and Executive Board recommendation. The full Commission shall vote on the issue and may take no action, suspend the state's membership in the Compact until the issues are remediated, terminate the state's membership in the Compact, or take other action within their discretion.
 - b) If the full Commission recommends to suspend or terminate the defaulting state, notice shall be sent to all required parties to include the nature of default and means of curing the default, per Section 10B of the physical therapy compact model language.

- c) The state shall have an opportunity for dispute resolution as provided for in Compact law, rules, and bylaws.
- d) If compliance is achieved during this time period, move the member state off the list of non-compliance and include member state in a report to the Executive Board at its next meeting and notify the full Commission.

Appendix D

PT Compact Commission Financial Report and Financials

PT Compact Financials

	2021	2020
REVENUE		
Compact Privileges	\$159,030	\$110,475
Forgiveness of Debt (FSBPT)	\$0	\$0
Total Revenue	\$159,030	\$110,475
EXPENSES		
Professional Fees & Contracted Services	\$199,321	\$169,181
Staff Travel, Meals, and Lodging	\$0	\$1,537
Bank Service Charges	\$7,145	\$4,969
Booth and Program Costs	\$0	\$2,201
Insurance	\$1,744	\$1,744
Office Supplies, Postage, & Misc.	\$10,119	\$0
Telephone, Internet, & Website	\$0	\$1,132
Total Expenses	\$218,329	\$180,764
Net Income (Loss)	\$(59,299)	\$(70,289)

PT Compact Balance Sheet

	2021	2020
ASSETS		
Current Assets		
Cash & Cash Equivalents	\$250,093	\$125,476
<u>Due from LCASO for privileges</u>	<u>\$18,619</u>	<u>\$9,331</u>
Total Current Assets	\$268,712	\$134,807
Total Assets	\$268,712	\$134,807
LIABILITIES & NET ASSETS		
Liabilities		
Accounts payable	\$1,211	\$0
<u>Due to LCASO</u>	<u>\$353,234</u>	<u>\$161,240</u>
Total Current Liabilities	\$354,444	\$161,240
Net Assets	\$(85,733)	\$(26,433)
TOTAL LIABILITIES and NET ASSETS	\$268,712	\$134,807